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Approved for use through 10/31/2002. OMB 0651-0031

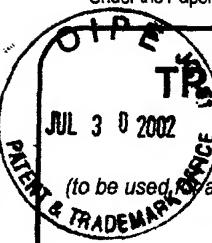
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FORM

(to be used for all correspondence after initial filing)

JUL 3 0 2002



Total Number of Pages in This Submission

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/045,182       |
| Filing Date          | October 18, 2001 |
| First Named Inventor | Li, Hui-Lin      |
| Group Art Unit       | 2152             |
| Examiner Name        | Unassigned       |

COPY OF THIS FORM  
ORIGINALLY FILED

Attorney Docket Number

010327-003200US

## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers<br>(for an Application)                      | <input type="checkbox"/> After Allowance Communication to Group  |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  |
| <input type="checkbox"/> Amendment / Response                                | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)  |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):   |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | 1. Declaration/Power of Attorney   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   | 2. Substitute specification  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s)  | 3. Copy Notice   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   | 4. Return Postcard   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |
|  | Remarks   | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.   |
|  |   | Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated March 20, 2002, the enclosures listed on this sheet are to be made of record in the above-identified case. |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                          |   |  |
|--------------------------|---|--|
| Firm and Individual name | Townsend and Townsend and Crew LLP<br>Robert C. Colwell |  |
| Signature                |   |  |
| Date                     | July 22, 2002   |  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

July 22, 2002

|                       |                     |               |
|-----------------------|---------------------|---------------|
| Typed or printed name | Margaret K. Stephan |               |
| Signature             |                     | Date          |
|                       |                     | July 22, 2002 |

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3237515 v1

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# FEE TRANSMITTAL for FY 2002

JUL 3 0 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 530

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/045,182       |
| Filing Date          | October 18, 2001 |
| First Named Inventor | Li, Hui-Lin      |
| Examiner Name        | Unassigned       |
| Group Art Unit       | 2152             |
| Attorney Docket No   | 010327-003200US  |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  MoneyOrder  Other  None
 Deposit Account:Deposit  
Account  
Number

20-1430

Deposit  
Account  
NameTownsend and Townsend and  
Crew LLP

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity | Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 740      | 201      | 370      | Utility filing fee     |          |
| 106      | 330      | 206      | 165      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | -20** | Extra Claims |  | Fee from<br>below | Fee Paid |
|--------------------|-------|--------------|--|-------------------|----------|
|                    |       |              |  |                   |          |
| Independent Claims | -3**  |              |  |                   |          |
| Multiple Dependent |       |              |  |                   |          |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| 103          | 18           | 203      | 9        | Claims in excess of 20                                     |          |
| 102          | 84           | 202      | 42       | Independent claims in excess of 3                          |          |
| 104          | 280          | 204      | 140      | Multiple dependent claim, if not paid                      |          |
| 109          | 84           | 209      | 42       | ** Reissue independent claims over original patent         |          |
| 110          | 18           | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity | Small Entity

| Fee Code                  | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|---------------------------|----------|----------|----------|--|----------|
| 105                       | 130      | 205      | 65       | Surcharge - late filing fee or oath  | 130      |
| 127                       | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                       | 130      | 139      | 130      | Non-English specification  |          |
| 147                       | 2,520    | 147      | 2,520    | For filing a request for reexamination                                     |          |
| 112                       | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840*   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116                       | 400      | 216      | 200      | Extension for reply within second month                                    | 400      |
| 117                       | 920      | 217      | 460      | Extension for reply within third month                                     |          |
| 118                       | 1,440    | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128                       | 1,960    | 228      | 980      | Extension for reply within fifth month                                     |          |
| 119                       | 320      | 219      | 160      | Notice of Appeal   |          |
| 120                       | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121                       | 280      | 221      | 140      | Request for oral hearing   |          |
| 138                       | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                       | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141                       | 1,280    | 241      | 640      | Petition to revive - unintentional   |          |
| 142                       | 1,280    | 242      | 640      | Utility issue fee (or reissue)   |          |
| 143                       | 460      | 243      | 230      | Design issue fee   |          |
| 144                       | 620      | 244      | 310      | Plant issue fee  |          |
| 122                       | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123                       | 50       | 123      | 50       | Petitions related to provisional applications                              |          |
| 126                       | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                       | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                       | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                       | 740      | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                       | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                       | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |          |          |          |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$530)

## SUBMITTED BY

Complete (if applicable)

|                   |                          |                                   |        |           |               |
|-------------------|--------------------------|-----------------------------------|--------|-----------|---------------|
| Name (Print/Type) | Robert C. Colwell        | Registration No. (Attorney/Agent) | 27,431 | Telephone | 650-326-2400  |
| Signature         | <i>Robert C. Colwell</i> |                                   |        | Date      | July 22, 2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3237517 v1

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## UNITED STATES PATENT AND TRADEMARK OFFICE

O I P E  
JUL 3 0 2002  
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O F F I C E

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/045,182         | 10/18/2001          | Hui-Lin Li            | 23397.02700            |

**CONFIRMATION NO. 4837****FORMALITIES LETTER**

\*OC000000007673343\*

Tobi C. Clontin  
Crosby, Heafey, Roach & May  
P.O. Box 7936  
San Francisco, CA 94120-7936

OPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 03/20/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

08/01/2002 JAD001 00000039 201430 10045182

02 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

*SK:lam*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY